IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Stuart E. Pettengill, et al.

Serial No.

Unknown

Attny No.

68,170-002

Filed

Herewith

Title

DEVICE FOR MAINTAINING POSITION

OF A PIECE OF JEWELRY

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed Provisional Patent Application, fee and related documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as Express Mail Post Office to Addressee, Mailing Label No. <u>EL 997488844 US</u> and addressed to Mail Stop Provisional Application - Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on October 7, 2003.



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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are not enclosed.

None of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789.

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS, P.C.

October 7, 2003

Date

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Enclosures:

PTO-1449

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute	e for form 1449	A/PTO		Complete if Known				
				Application Number	Unknown			
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STA	TEMEN.	T BY A	PPLICANT	First Named Inventor	Stuart E. Pettengill, et al.			
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	(use as mar	ny sheets as	necessary)	Examiner Name	Unknown			
Sheet	1	of	1	Attorney Docket Number	68,170-002			

		U.S. PATENT DOCU	MENTS		
Cite No.1	Number Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear	
1		Tzoubris	02-04-2003		
2	6,481,244	Wright	11-19-2002		
3	6,094,747	Malick	08-01-2000		
4	5,680,679	May	10-28-1997		
5	1,885,930	Lowy	11-01-1932		
6	1,533,441	Melling	04-14-1925		
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	No.¹ 1 2 3 4 5	No.¹ Number (if known) 1 6,513,685 2 6,481,244 3 6,094,747 4 5,680,679 5 1,885,930	U.S. Patent Document Name of Patentee or Applicant of Cited Document	Cite No.1 Number Kind Code ² (if known) Name of Patentee or Applicant of Cited Document Cited Document MM-DD-YYYY 1 6,513,685 Tzoubris 02-04-2003 2 6,481,244 Wright 11-19-2002 3 6,094,747 Malick 08-01-2000 4 5,680,679 May 10-28-1997 5 1,885,930 Lowy 11-01-1932 6 1,533,441 Melling 04-14-1925	

FOREIGN PATENT DOCUMENTS									
	Cite No.1	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Examiner Initials*		Office ³	Number ⁴	Kind Code⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.